

## FIGURE 1

Examples of aqueous suspension compositions containing micrometer or submicrometer size particles of 9-nitrocamptothecin suitable for intravenous injection.

| 1                     | 2                          | 3              | 4            | 5             | 6         | 7                   | 8              | 9         | 10              | 11   | 12         | 13                              | 14                               | 15  | 16                    | 17                   |
|-----------------------|----------------------------|----------------|--------------|---------------|-----------|---------------------|----------------|-----------|-----------------|--|------------|---------------------------------|----------------------------------|-----|-----------------------|----------------------|
| Sample Identification | 9-Nitrocamptothecin<br>(g) | Lipoid E80 (g) | Mannitol (g) | Trehalose (g) | Water (g) | Aq. Na Acetate* (g) | Batch size (g) | Diluent** | Dilution Factor | 9-Nitrocamptothecin<br>Concentration, mg/g | Lipoid E80 | Mannitol<br>Concentration, mg/g | Trehalose<br>Concentration, mg/g | pH  | Mean Size***<br>( m ) | Size: 99.9%<br>( m ) |
| 1-A                   | 0.252                      | 1.051          | 2.74         |               | 41.5      | 5.0                 | 50.6           | MAN**     | 2.5             | 1.99                                       | 8.3        | 55.0                            |                                  | 5.6 | 1.93                  | 6.52                 |
| 1-B                   | 0.253                      | 1.001          | 2.75         |               | 41.1      | 5.0                 | 50.1           | MAN       | 2.5             | 2.02                                       | 8.0        | 55.0                            |                                  | 5.7 | 1.02                  | 2.47                 |
| 1-C                   | 0.250                      | 2.001          | 2.76         |               | 40.0      | 5.0                 | 50.0           | MAN       | 2.5             | 2.00                                       | 16.0       | 55.0                            |                                  | 5.8 | 0.96                  | 2.44                 |
| 1-D                   | 0.259                      | 2.510          |              | 6.0           | 36.3      | 5.0                 | 50.0           | TRE**     | 2.5             | 2.07                                       | 20.1       |                                 | 120.0                            | 5.9 | 0.15                  | 0.87                 |
| 1-Ea                  | 0.250                      | 5.000          |              | 6.0           | 33.8      | 5.0                 | 50.1           | TRE       | 2.5             | 2.00                                       | 40.0       |                                 | 121.3                            | 6.0 | 0.07                  | 0.22                 |
| 1-Eb                  |                            |                |              |               |           |                     |                | TRE       | 2.5             | 2.00                                       | 40.0       |                                 | 241.3                            | 6.0 | 0.07                  | 0.20                 |
| 1-Ec                  |                            |                |              |               |           |                     |                | TRE       | 2.5             | 2.00                                       | 40.0       |                                 | 361.3                            | 6.0 | 0.27                  | 2.00                 |
| 1-F                   | 1.256                      | 25.1           |              | 15.0          | 71.5      | 12.5                | 125.4          | TRE       | 5.0             | 2.00                                       | 40.0       |                                 | 240.5                            | 5.0 | 1.29                  | 2.80                 |
| 1-V                   |                            | 16.0           |              | 9.6           | 46.4      | 8.0                 | 80.0           | TRE       | 5.0             |  | 4.0        |                                 | 239.7                            | 4.8 | 0.07                  | 0.01                 |

\* Aq. Na Acetate: 20 mM sodium acetate solution in water with sodium hydroxide added to adjust pH to 5.0.

\*\* Diluent Aqueous solution containing mannitol (MAN) or trehalose (TRE) and sodium acetate in sufficient quantity to give the final concentration of sodium acetate of 2 mM and that of other ingredients as shown in columns 11-14 of Table 1.

\*\*\* Mean Size Volume weighted mean particle diameter ( $D_{4,3}$ ) in micrometers determined by a Malvern Mastersizer Microplus apparatus.

\*\*\*\* Size:99.9% 99.9% of the particle population is smaller than this volume weighted particle diameter as determined by a Malvern Mastersizer Microplus apparatus.

## FIGURE 2

| Stability of an aqueous suspension formulation of 9-nitrocamptothecin stored at 4°C, 25°C, and 40°C for up to 170 days. |  |                 |   |
|---|--|-----------------|---|
| Storage Temperature and Duration  | Volume weighted particle diameter, micrometers |                 | Appearance  |
|   | Mean   | 99.9 percentile |   |
| Initial   | 1.29   | 2.80            | Homogeneous yellow suspension, crystalline particles were observed in optical microscope under polarized light with a size distribution consistent with the measured size.  |
| Stored at 4°C for 170 days  | 1.27   | 3.00            | Small amounts of sediments were observed in the vial that were easily resuspendible to a homogeneous yellow suspension. Crystalline particles were observed in optical microscopic examination under polarized light with a size distribution consistent with the measured size. No agglomerates were found |
| Stored at 25°C for 170 days   | 1.20   | 2.91            |   |
| Stored at 40°C for 170 days   | 1.31   | 4.78            |   |

**FIGURE 3**

| IDD-D particle diameters in micrometers as a function of stress conditions. |                             |                     |                    |                    |                    |                    |
|---|-----------------------------|---------------------|--------------------|--------------------|--------------------|--------------------|
|   | Initial<br>Particle<br>Size | 1. Stress Condition |                    |                    |                    |                    |
|   |                             | Storage at<br>2-8°C | Storage at<br>20°C | Storage at<br>40°C | 4-40°C<br>Cycling  | Shaking            |
| Test<br>Duration  | Day 0                       | Day18               | Day18              | Day18              | Cycle3             | Day3               |
| Mean<br>(volume<br>weighted)  | 0.20 $\mu\text{m}$          | 0.19 $\mu\text{m}$  | 0.18 $\mu\text{m}$ | 0.17 $\mu\text{m}$ | 0.19 $\mu\text{m}$ | 0.20 $\mu\text{m}$ |
| 99.9<br>Percentile  | 0.34 $\mu\text{m}$          | 0.34 $\mu\text{m}$  | 0.31 $\mu\text{m}$ | 0.31 $\mu\text{m}$ | 0.33 $\mu\text{m}$ | 0.33 $\mu\text{m}$ |

**FIGURE 4**

**Protocol Design For First Melanoma Xenograft Study**

| Group | n  | TreatmentRegimen1  |       |       |             |
|-------|----|--------------------|-------|-------|-------------|
|       |    | Agent              | mg/kg | Route | Schedule    |
| 1     | 10 | NoTreatment        | n/a   | n/a   |             |
| 2     | 10 | IDD-P(1.3dilution) | n/a   | iv    | 5/2/5       |
| 3     | 10 | IDD-D(nodilution)  | n/a   | iv    | 5/2/5       |
| 4     | 10 | D5Wwith3%DMA       | n/a   | po    | Day1,4,8,11 |
| 5     | 10 | CAMPTOSAR          | 100   | ip    | QWKx3       |
| 6     | 10 | HYCAMTIN           | 10    | ip    | Q4Dx4       |
| 7     | 10 | DTIC               | 150   | ip    | QDx5        |
| 8     | 10 | 9NC-IDD-P          | 3     | iv    | 5/2/5       |
| 9     | 10 | 9NC-IDD-P          | 1.5   | iv    | 5/2/5       |
| 10    | 10 | 9NC-IDD-D          | 2     | iv    | 5/2/5       |
| 11    | 10 | 9NC-IDD-D          | 1     | iv    | 5/2/5       |
| 12    | 10 | 9NC-D5W-3%DMA      | 4     | po    | Day1,4,8,11 |
| 13    | 10 | 9NC-D5W-3%DMA      | 2     | po    | Day1,4,8,11 |

**FIGURE 5**

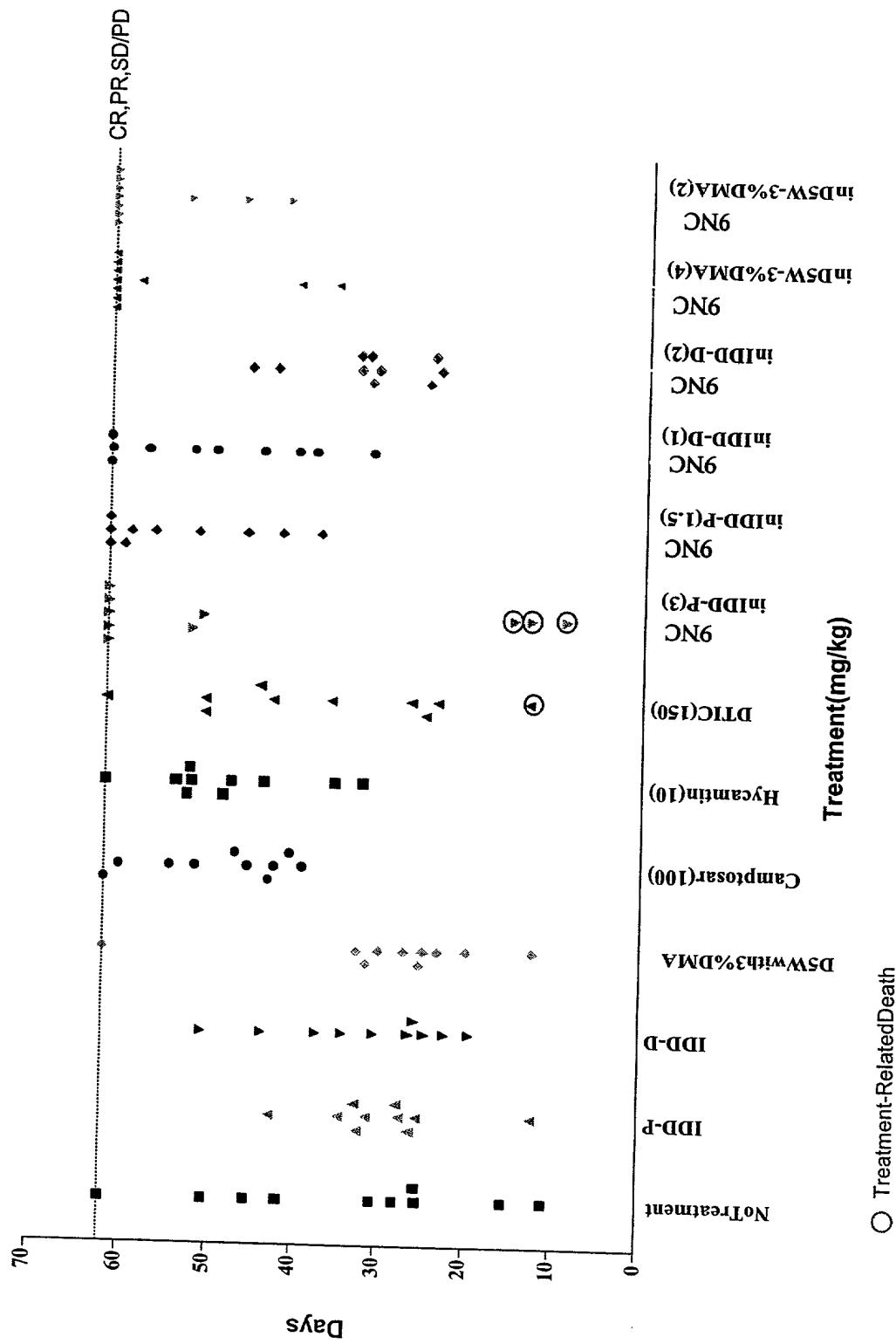
**Treatment Response Summary For First Melanoma Xenograft Study**

| Group | n  | TreatmentRegimen   |       |       |             | ±SEM(n)         | MDSto2.0g    | Max.%BW | #Death <sup>a</sup> | #CR | #PR | #SD/PD |
|-------|----|--------------------|-------|-------|-------------|-----------------|--------------|---------|---------------------|-----|-----|--------|
|       |    | Agent              | mg/kg | Route | Schedule    |                 |              |         |                     |     |     |        |
| 1     | 10 | No Treatment       | n/a   | n/a   |             | 30.3 ± 4.4 (9)  | ---          | 0       | 0                   | 0   | 0   | 1      |
| 2     | 10 | IDD-P(1:3dilution) | n/a   | iv    | 5/2/5       | 29.2 ± 2.5 (10) | ---          | 0       | 0                   | 0   | 0   | 0      |
| 3     | 10 | IDD-D(nodilution)  | n/a   | iv    | 5/2/5       | 31.6 ± 3.2 (10) | -0.4%;Day27  | 0       | 0                   | 0   | 0   | 0      |
| 4     | 10 | D5W with 3%DMA     | n/a   | po    | Day1,4,8,11 | 26.0 ± 2.3 (8)  | ---          | 0       | 1                   | 1   | 0   | 0      |
| 5     | 10 | CAMPTOSAR          | 100   | ip    | QWKx3       | 47.3 ± 2.3 (9)  | ---          | 0       | 0                   | 1   | 0   | 0      |
| 6     | 10 | HYCAMTIN           | 10    | ip    | Q4Dx4       | 46.7 ± 2.6 (9)  | ---          | 0       | 0                   | 1   | 0   | 0      |
| 7     | 10 | DTIC               | 150   | ip    | QDx5        | 37.6 ± 4.0 (8)  | -5.2%;Day5   | 1       | 0                   | 1   | 0   | 0      |
| 8     | 10 | 9NC-IDD-P          | 3     | iv    | 5/2/5       | 52.0 ± 0.6 (2)  | -13.1%;Day13 | 3       | 0                   | 1   | 3   | 1      |
| 9     | 10 | 9NC-IDD-P          | 1.5   | iv    | 5/2/5       | 50.7 ± 3.4 (7)  | -2.2%;Day5   | 0       | 0                   | 2   | 0   | 1      |
| 10    | 10 | 9NC-IDD-D          | 2     | iv    | 5/2/5       | 47.2 ± 3.6 (8)  | ---          | 0       | 0                   | 1   | 1   | 0      |
| 11    | 10 | 9NC-IDD-D          | 1     | iv    | 5/2/5       | 32.6 ± 2.3 (10) | ---          | 0       | 0                   | 0   | 0   | 0      |
| 12    | 10 | 9NC-D5W-3%DMA      | 4     | po    | Day1,4,8,11 | 45.3 ± 7.0 (3)  | ---          | 0       | 0                   | 4   | 0   | 3      |
| 13    | 10 | 9NC-D5W-3%DMA      | 2     | po    | Day1,4,8,11 | 47.6 ± 3.4 (3)  | ---          | 0       | 0                   | 4   | 1   | 2      |

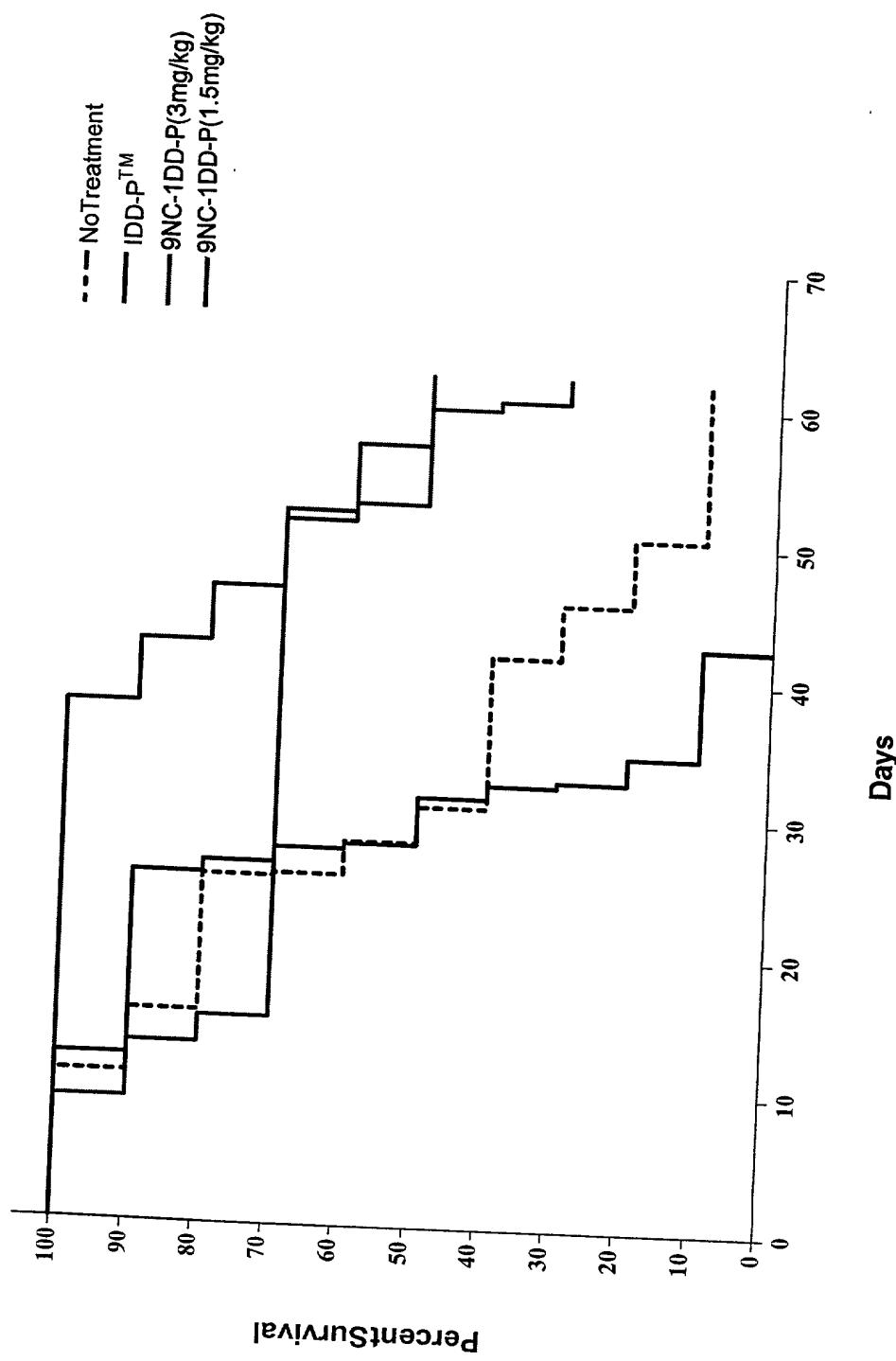
<sup>a</sup>#Death:TR(TreatmentRelated);NTR(Non-TreatmentRelated)

FIGURE 6

Scatter Plot of Survival Times for Mice in First Melanoma Xenograft Study



**Figure 7**



**Figure 8**

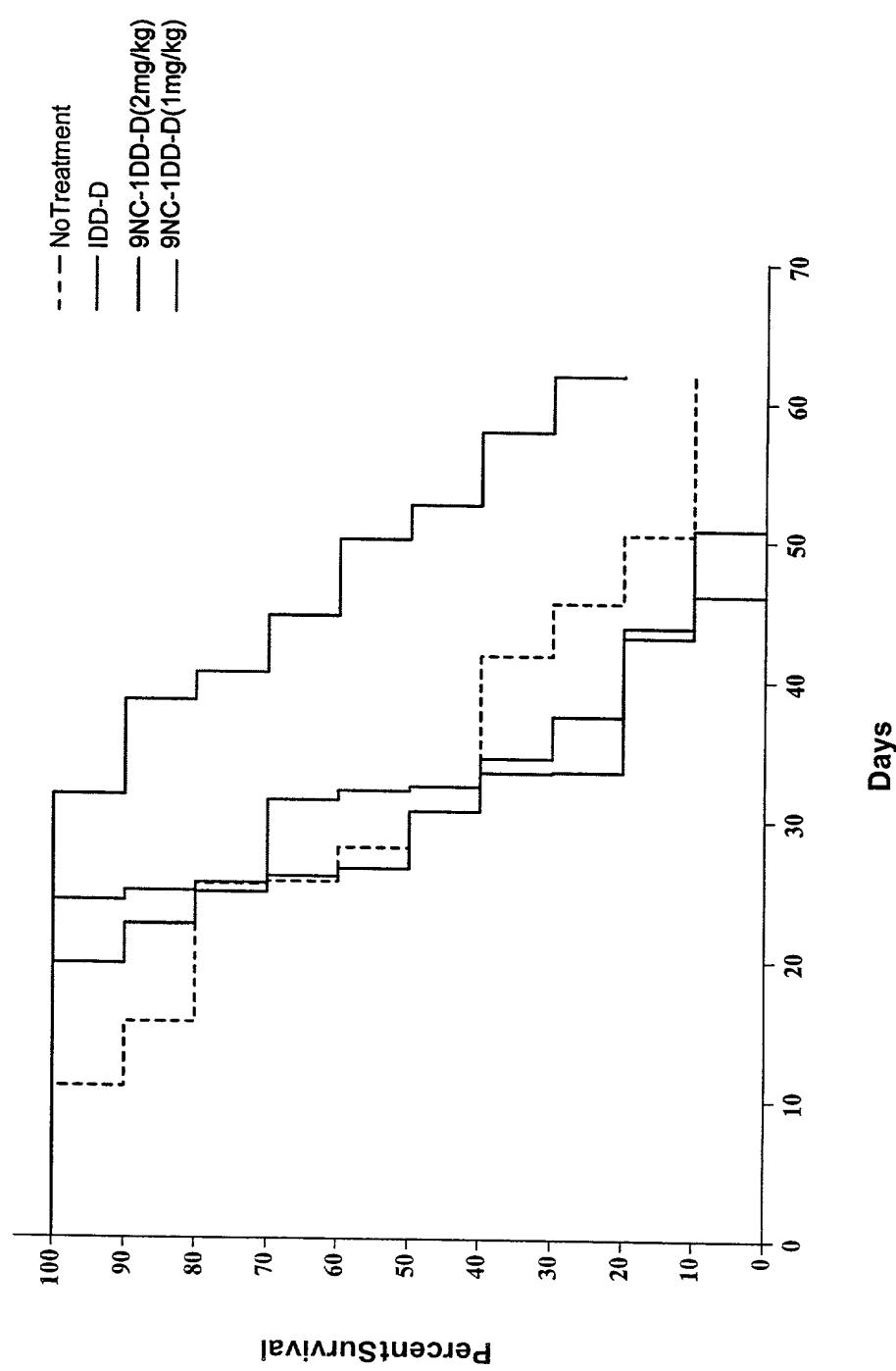


FIGURE 9

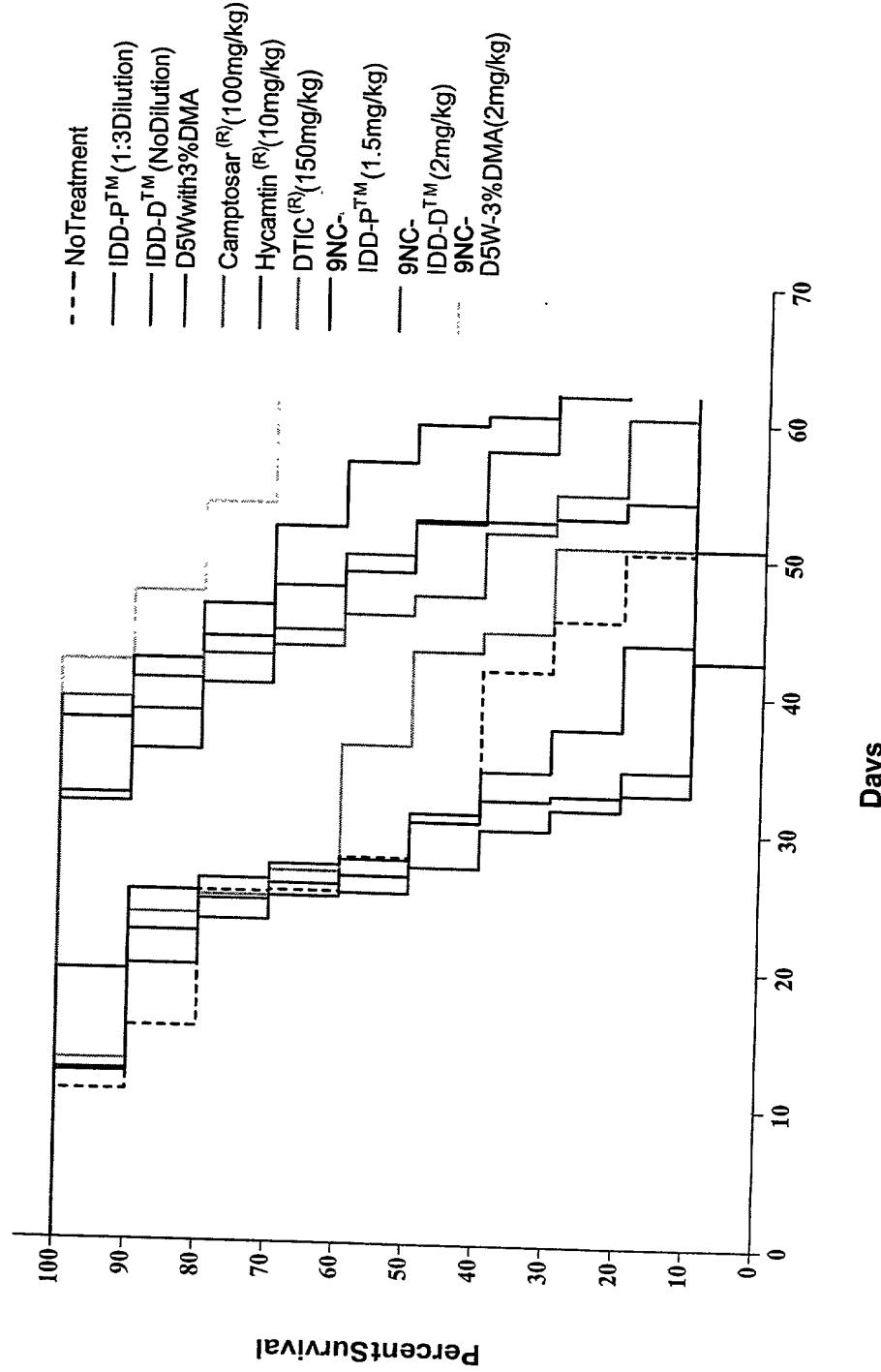
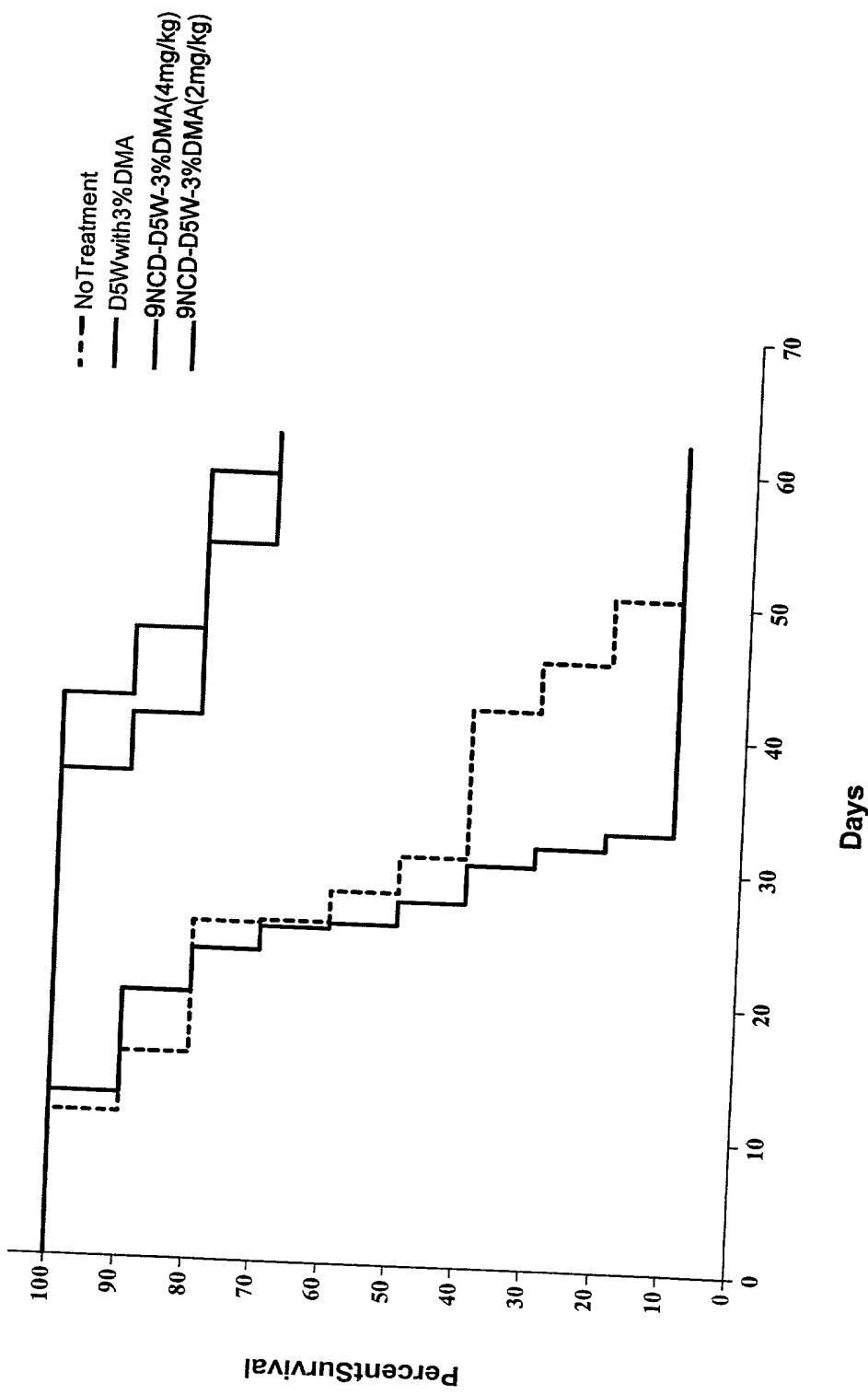


FIGURE 10



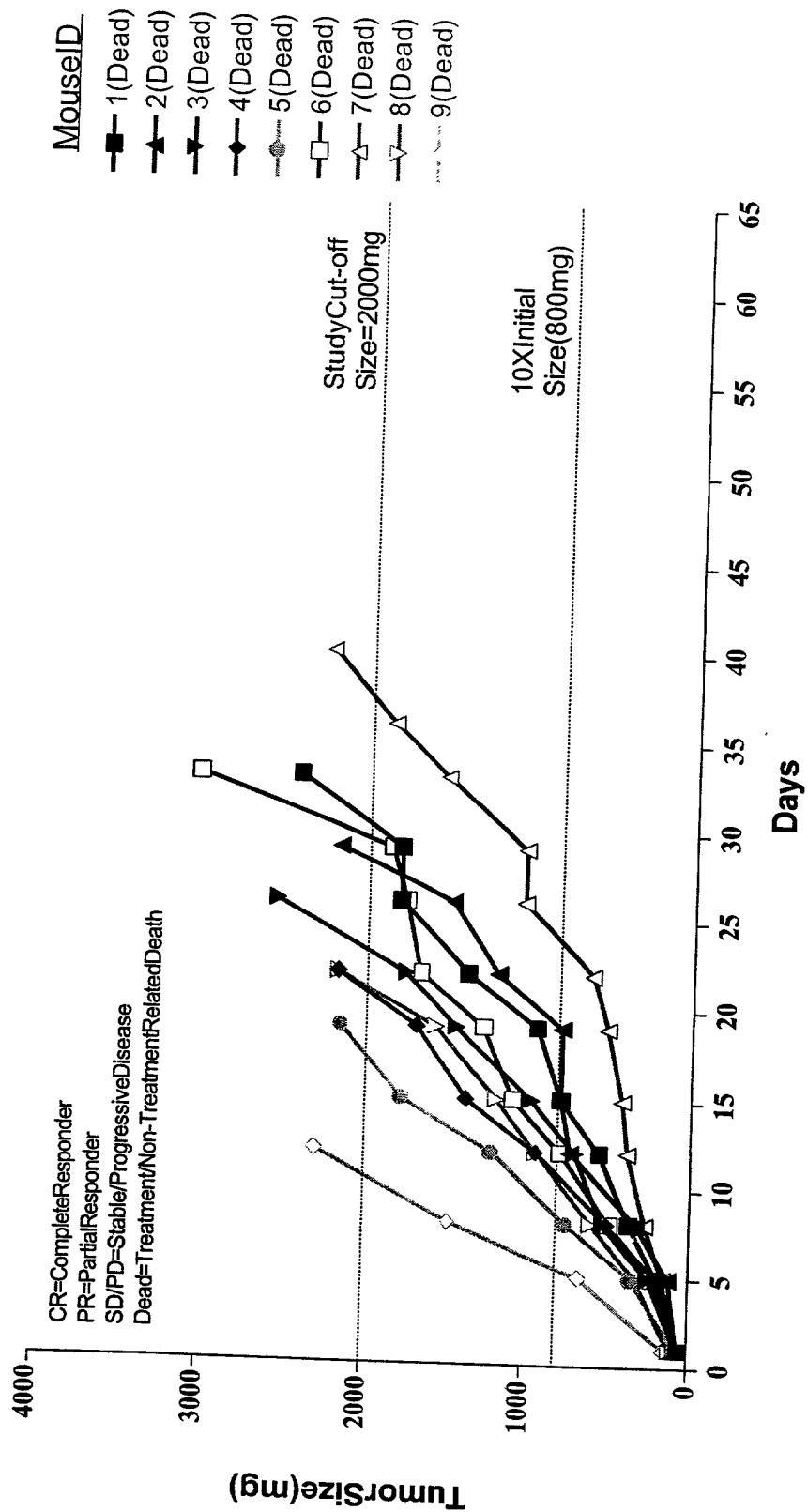
**FIGURE 11**

| Treatment                     | Mean (days) | +/_ SEM | P vs no treatment | Initial n/n reaching 2 g or surviving to day 62 |
|-------------------------------|-------------|---------|-------------------|---|
| No treatment                  | 20.5        | 3.0     | ---               | 10/10   |
| IDD-P vehicle iv (5/2/5)      | 17.3        | 3.6     | 0.32              | 10/10   |
| IDD-D vehicle iv (5/2/5)      | 18.0        | 5.4     | 0.48              | 10/10   |
| D5W with DMA (5/2/5)          | 15.2        | 1.0     | 0.12              | 10/9  |
| Camptosar 100mg/kg ip (Qwkx3) | 39.7        | 3.2     | 0.0004            | 10/10   |
| Hycamtin 10 mg/kg ip (Q4Dx4)  | 39.9        | 2.9     | 0.0002            | 10/10   |
| DTIC 150 mg/kg ip (QDx5)      | 31.3        | 4.6     | 0.06              | 10/9  |
| 9NC-in IDD-P 3 mg/kg iv       | 56.2        | 3.1     | <<<0.0005         | 10/7  |
| 9NC in IDD-P 1.5 mg/kg iv     | 45.5        | 3.7     | <<<0.0005         | 10/10   |
| 9NC in IDD-D 2mg/kg iv        | 41.9        | 3.1     | <<<0.0005         | 10/10   |
| 9NC in IDD-D 1 mg/kg iv       | 26.1        | 1.4     | 0.11              | 10/10   |
| 9NC 4 mg/kg oral              | 54.1        | 3.8     | <<<0.0005         | 10/10   |
| 9NC 2 mg/kg oral              | 54.6        | 3.1     | <<<0.0005         | 10/10   |

5/2/5 + 5 daily dose, 2 days rest, 5 daily  
 Qwkx3 one dose per week for 3 weeks  
 Q4Dx4 four doses per day at four day intervals  
 QDx5 one dose per day for 5 days

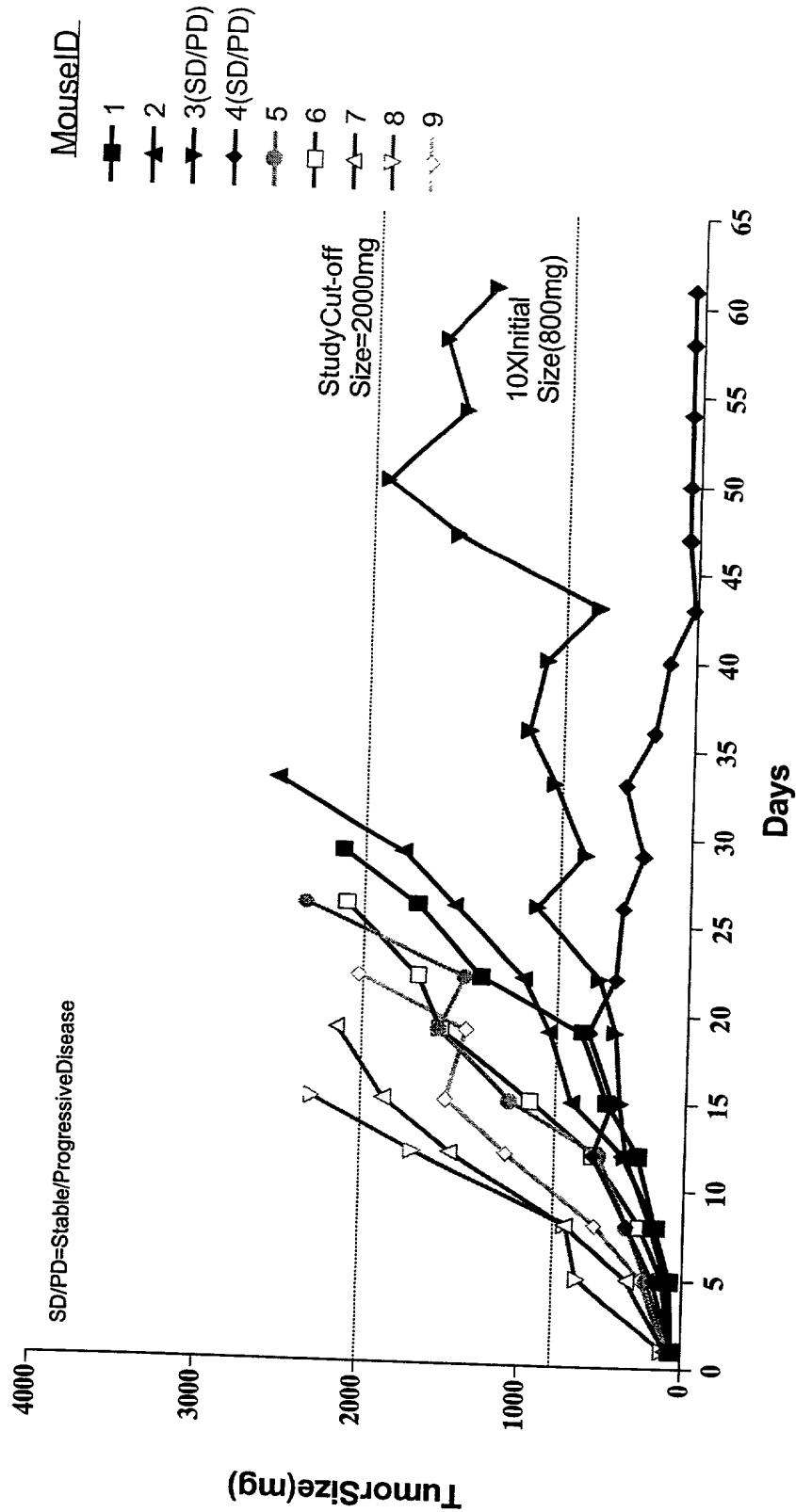
FIGURE 12A

## Group 1 of Second Melanoma Study



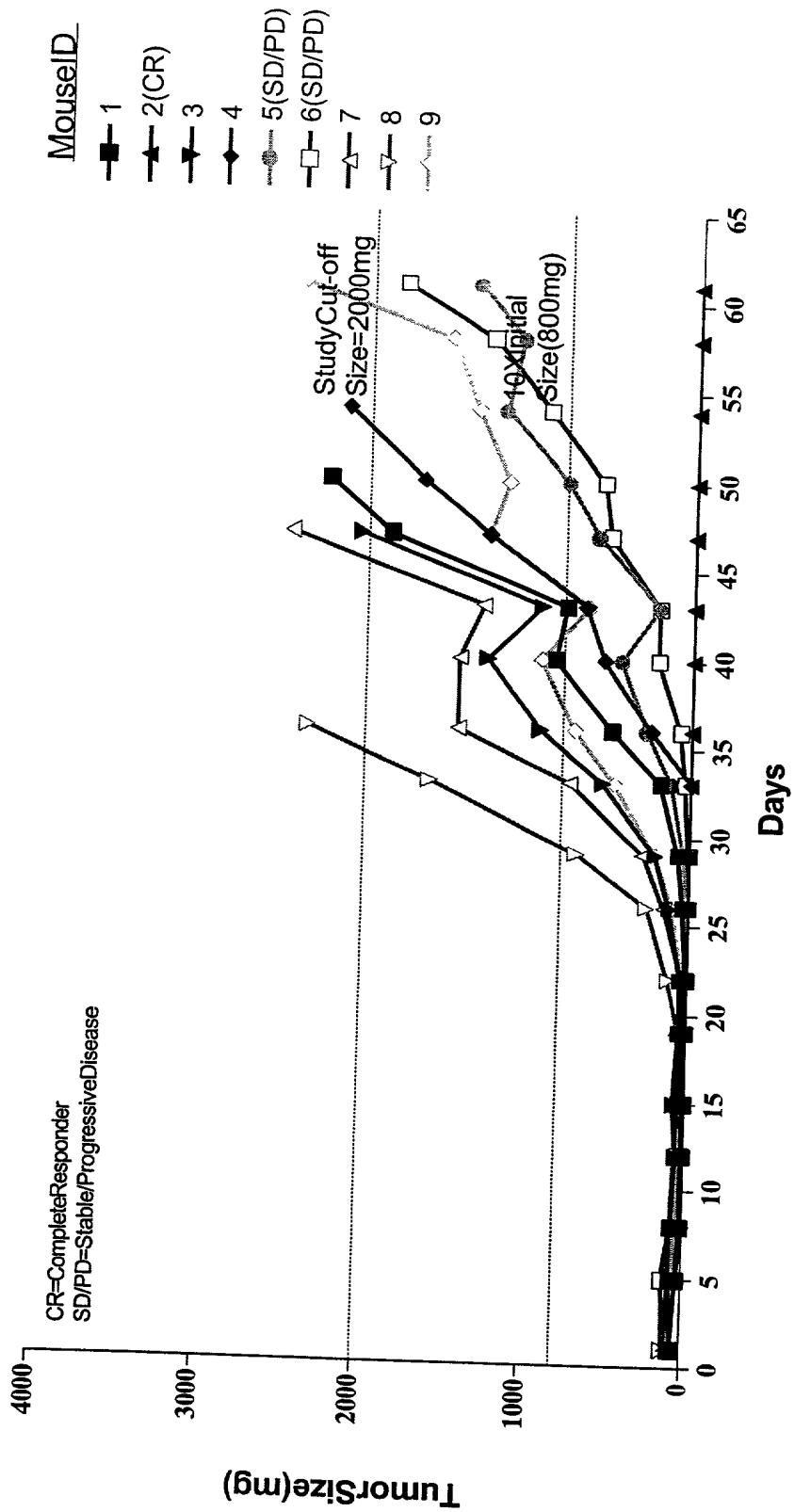
**FIGURE 12B**

**Group 2 of Second Melanoma Study**



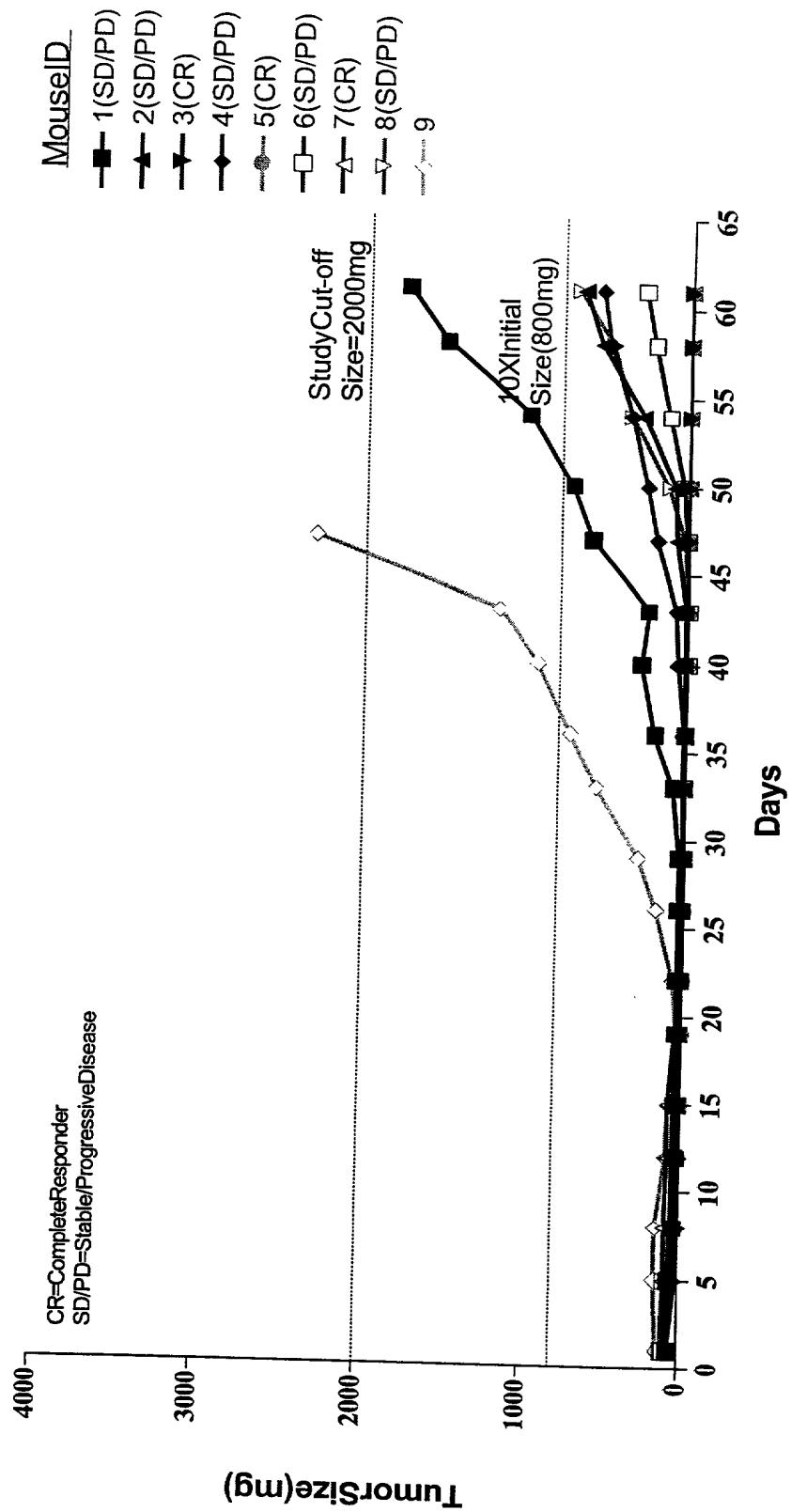
**FIGURE 12C**

**Group 3 of Second Melanoma Study**



**FIGURE 12D**

**Group 5 of Second Melanoma Study**



## **FIGURE 13**

### **MX-1 Human Breast Cancer Xenograft Study**

| Treatment                   | Schedule | Mean Days to 10 X | S.E.M | P vs. no treatment | # of mice at start/<br># mice reaching 10x |
|-----------------------------|----------|-------------------|-------|--------------------|--|
| No treatment                |          | 17.4              | 2.23  | --                 | 10/10                                      |
| IDD-P vehicle i.v.          | 5/2/5    | 16.5              | 1.1   | n.s                | 10/10                                      |
| 9NC in IDDP 2.5 mg/kg i.v.  | 5/2/5    | 53.0              | 0.0   | <<0.05             | 10/10                                      |
| 9NC in IDDP 1.75 mg/kg i.v. | 5/2/5    | 53.0              | 0.0   | <<0.05             | 10/10                                      |
| 9NC in IDDP 1.25 mg/kg i.v. | 5/2/5    | 47.5              | 2.1   | <<0.05             | 10/10.                                     |
| Camptosar 100 mg/kg i.p..   | QWK x 3  | 53.0              | 0.0   | <<0.05             | 10/10                                      |
| Hycamtin 10 mg/kg i.p.      | Q4D x 4  | 53.0              | 0.0   | <<0.05             | 10/10                                      |

## **FIGURE 14**

### **Pan 1- Human Pancreatic Cancer Xenograft Study**

| Treatment                   | Schedule | Mean Days to 10 X | S.E.M | P vs. no treatment | # of mice at start/<br># mice reaching 10x |
|-----------------------------|----------|-------------------|-------|--------------------|--|
| No treatment                |          | 19.5              | 1.6   | --                 | 10/10                                      |
| IDD-P vehicle i.v.          | 5/2/5    | 20.6              | 1.3   | n.s                | 9/9  |
| 9NC in IDDP 2.5 mg/kg i.v.  | 5/2/5    | 34.3              | 2.0   | <<0.05             | 10/10                                      |
| 9NC in IDDP 1.75 mg/kg i.v. | 5/2/5    | 25.7              | 1.3   | <0.01              | 10/10                                      |
| 9NC in IDDP 1.25 mg/kg i.v. | 5/2/5    | 24.6              | 1.0   | =.01               | 10/10.                                     |
| Camptosar 100 mg/kg i.p..   | QWK x 3  | 30.5              | 3.9   | <0.05              | 10/10                                      |
| Hycamtin 10 mg/kg i.p.      | Q4D x 4  | 30.6              | 1.5   | <<0.05             | 10/10                                      |

**FIGURE 15****HT-29 Human Colon Cancer Xenograft Study**

| Treatment                   | Schedule | Mean Days to 10 X | S.E.M | P vs. no treatment | # of mice at start/<br># mice reaching 10x |
|-----------------------------|----------|-------------------|-------|--------------------|--|
| No treatment                |          | 26.9              | 2.0   | --                 | 8/8  |
| IDD-P vehicle i.v.          | 5/2/5    | 29.4              | 1.6   | n.s                | 8/8  |
| 9NC in IDDP 2.5 mg/kg i.v.  | 5/2/5    | 34.0              | 1.8   | <0.05              | 8/8  |
| 9NC in IDDP 1.75 mg/kg i.v. | 5/2/5    | 34.5              | 2.0   | <0.05              | 9/9  |
| 9NC in IDDP 1.25 mg/kg i.v. | 5/2/5    | 38.1              | 3.6   | <0.05              | 9/9.                                       |
| Camptosar 100 mg/kg i.p..   | QWK x 3  | 35.7              | 2.2   | <0.01              | 9/9  |
| Hycamtin 10 mg/kg i.p.      | Q4D x 4  | 34.4              | 1.5   | <0.01              | 9/9  |

## **FIGURE 16**

### **SKMES Human Lung Cancer Xenograft Study**

| Treatment                   | Schedule | Mean Days to 10 X | S.E.M | P vs. no treatment | # of mice at start/<br># mice reaching 10x |
|-----------------------------|----------|-------------------|-------|--------------------|--|
| No treatment                |          | 11.7              | 0.8   | --                 | 10/10                                      |
| IDD-P vehicle i.v.          | 5/2/5    | 14.6              | 1.0   | 0.03               | 10/10                                      |
| 9NC in IDDP 2.5 mg/kg i.v.  | 5/2/5    | 27.3              | 1.6   | <<0.05             | 10/10                                      |
| 9NC in IDDP 1.75 mg/kg i.v. | 5/2/5    | 29.4              | 2.2   | <<0.05             | 10/10                                      |
| 9NC in IDDP 1.25 mg/kg i.v. | 5/2/5    | 35.2              | 5.7   | <0.05              | 10/10.                                     |
| Camptosar 100 mg/kg i.p..   | QWK x 3  | 35.2              | 4.4   | <<0.05             | 10/10                                      |
| Hycamtin 10 mg/kg i.p.      | Q4D x 4  | 33.6              | 3.6   | <<0.05             | 10/10                                      |